

**Project Name:** Compliance Tracking System  
**OCIO Project #:**  
**Department:** CGCC, CA Gambling Control Commission  
**Revision Date:** 7/23/09

## Concept Statement

### Description

**Brief description of the proposed project:**

Automated system to track Compliance activities: Tribal internal control reviews; Electronic Gaming Device inventory and management; and audit activity.

### Need Statement

**High Level Functional Requirements:**

Maintain repositories for: information on internal control reviews; Statewide Electronic Gaming Device (EGD) Inventory (includes Tribal EGD and equipment inventory, Gaming Equipment Transportation Notices), Manufacturer Customer Notices, and Gaming Testing Laboratory Certification Documents; manage the field inspections, special projects, staffing metrics, and communication; and track audits and their outcomes.

**What is Driving This Need?**

Responsibility to verify Tribal-State Compact compliance. With the ability to use technology to automate processes, the Commission should be able to keep up with the ever changing Gaming Industry in California.

**Risk to the Organization if This Work is Not Done:**

Inability to meet the responsibilities outlined in the Tribal-State Compacts.

## CA - PMM

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### Benefit Statement

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#### Intangible Benefits

**Process Improvements** (describe the nature of the process improvement):

Automation of activities will allow the Commission to use staff smarter. Instead of managing responsibilities through a manual process, staff can be more analytical in what is collected, looking for trends and be able to project the future of California Gaming.

**Other Intangible Benefits:**

None

#### Tangible Benefits

**Revenue Generation** (describe how revenue will be generated):

None

**Cost Savings** (describe how cost will be reduced):

Increased efficiency

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**Cost Avoidance** (describe the cost and how avoided):

None


**Risk Avoidance** (describe the risk and how avoided):

None

**Improved Services:**

Increased efficiency

### Consistency

"No" Responses 		Rationale	Action Required
Enterprise Architecture	Yes		
Business Plan	Yes		
Strategic Plan	Yes		

### Impact to Other Agencies

#### Nature of Impact to Other Agencies

**Agency:**

*Describe the nature of the impact:*

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**Agency:**

*Describe the nature of the impact:*

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### Solution Alternatives

#### Alternative 1:

Will know more when begin "Initiating Stage" and Feasibility Study

#### Technical Considerations for Alternative 1:

ROM Cost: to

Note: high end of range must not exceed 200% of low end of range

#### Alternative 2:

#### Technical Considerations for Alternative 2:

ROM Cost: to

Note: high end of range must not exceed 200% of low end of range

#### Alternative 3:

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### Technical Considerations for Alternative 3:

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ROM Cost: to

Note: high end of range must not exceed 200% of low end of range

## Recommendation

### Comparison:

Alternative 1	ROM Cost	Risk
	\$0 - \$0	
Alternative 2	ROM Cost	Risk
	\$0 - \$0	
Alternative 3	ROM Cost	Risk
	\$0 - \$0	

### Conclusions:

1	
2	
3	
4	

## CA - PMM

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**Recommendation:**

### Project Approach *(if known)*

<b>System Complexity:</b>			System Business Hours: <i>(e.g., 24x7, 9am-5pm)</i> :			
Architecture	<input type="checkbox"/> Mainframe	<input type="checkbox"/> Client Server	<input type="checkbox"/> Web Based		Num. of New Databases:	
Technology	<input type="checkbox"/> New	<input type="checkbox"/> New to Staff	<input type="checkbox"/> In-House Experience		Interfaces:	
Implementation	<input type="checkbox"/> Central Site	<input type="checkbox"/> Phased Roll-out			Num. of Sites:	
M & O Support	<input type="checkbox"/> Contractor	<input type="checkbox"/> Data Center	<input type="checkbox"/> Project	<input type="checkbox"/> In House		
Procurement Approach:					Number of Procurements:	
Open Procurement?		Delegated Procurement?				
Scope of Contract	<input type="checkbox"/> Development <input type="checkbox"/> Implementation <input type="checkbox"/> M & O <input type="checkbox"/> Other:					
Anticipated Length of Contract:		Years /                      extensions for                      years				